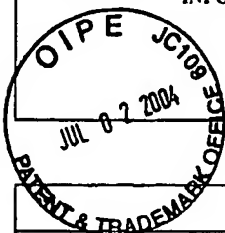


|   |                               |                                   |
|---|-------------------------------|-----------------------------------|
| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>IN AN APPLICATION</b><br>(Use several sheets if necessary) | Docket Number:<br>8195.35US01 | Application Number:<br>10/805,172 |
|   | Applicant: JANNUSCH ET AL.    |                                   |
|   | Filing Date: 03/19/2004       | Group Art Unit: UNKNOWN           |



| U.S. PATENT DOCUMENTS |                 |            |                  |       |          |                            |
|-----------------------|-----------------|------------|------------------|-------|----------|----------------------------|
| EXAMINER INITIAL      | DOCUMENT NO.    | DATE       | NAME             | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| /T.M./                | 4,713,243       | 12/15/1987 | Schiraldi et al. |       |          |                            |
|                       | Re. 33,093      | 10/17/1989 | Schiraldi et al. |       |          |                            |
|                       | 5,354,551       | 10/11/1994 | Schmidt          |       |          |                            |
|                       | 5,695,782       | 12/09/1997 | Bourquin         |       |          |                            |
|                       | 6,153,222       | 11/28/2000 | Becher           |       |          |                            |
|                       | 6,177,096 B1    | 01/23/2001 | Zerbe et al.     |       |          |                            |
|                       | 6,284,264 B1    | 09/04/2001 | Zerbe et al.     |       |          |                            |
|                       | 6,337,083 B1    | 01/08/2002 | Fuisz            |       |          |                            |
|                       | 2002/0037977 A1 | 03/28/2002 | Feldstein et al. |       |          |                            |
|                       | 6,419,903 B1    | 07/16/2002 | Xu et al.        |       |          |                            |
|                       | 6,419,906 B1    | 07/16/2002 | Xu et al.        |       |          |                            |
|                       | 2002/0187112 A1 | 12/12/2002 | Xu et al.        |       |          |                            |
|                       | 6,521,255 B2    | 02/18/2003 | Vergez et al.    |       |          |                            |
|                       | 5,948,430 C1    | 03/04/2003 | Zerbe et al.     |       |          |                            |
|                       | 2003/0053962 A1 | 03/20/2003 | Zerbe et al.     |       |          |                            |
|                       | 6,552,024 B1    | 04/22/2003 | Chen et al.      |       |          |                            |
|                       | 2003/0099690 A1 | 05/29/2003 | Awamura et al.   |       |          |                            |
|                       | 2003/0099692 A1 | 05/29/2003 | Lydzinski et al. |       |          |                            |
|                       | 2003/0107149 A1 | 06/12/2003 | Yang et al.      |       |          |                            |
|                       | 6,596,298 B2    | 07/22/2003 | Leung et al.     |       |          |                            |
|                       | 6,605,302 B2    | 08/12/2003 | Faour et al.     |       |          |                            |
|                       | 6,613,357 B2    | 09/02/2003 | Faour et al.     |       |          |                            |
|                       | 2003/0206941 A1 | 11/06/2003 | Leung et al.     |       |          |                            |
|                       | 2003/0211136 A1 | 11/13/2003 | Kulkarni et al.  |       |          |                            |
|                       | 2003/0224090 A1 | 12/04/2003 | Pearce et al.    |       |          |                            |
| /T.M./                | 6,709,671 B2    | 03/23/2004 | Zerbe et al.     |       |          |                            |

|   |                            |
|---|----------------------------|
| EXAMINER /Tristan Mahyera/  | DATE CONSIDERED 10/12/2007 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                            |

|  |   |  |                               |                                   |
|--|---|--|-------------------------------|-----------------------------------|
| <b>FORM 1449*</b><br><b>PT&amp;T</b><br><b>JUL 02 2004</b><br><b>PT&amp;T OFFICE</b> | <b>INFORMATION DISCLOSURE STATEMENT</b> |  | Docket Number:<br>8195.35US01 | Application Number:<br>10/805,172 |
|  | <b>IN AN APPLICATION</b>                |  | Applicant: JANNUSCH ET AL.    |                                   |
|  | (Use several sheets if necessary)       |  | Filing Date: 03/19/2004       | Group Art Unit: UNKNOWN           |

**FOREIGN PATENT DOCUMENTS**

|        | DOCUMENT NO.      | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--------|-------------------|------------|---------|-------|----------|-------------|----|
|        |                   |            |         |       |          | YES         | NO |
| /T.M./ | 198 25 856 A1     | 12/16/1999 | DE      |       |          | abstract    |    |
|        | 1 008 343 A1      | 06/14/2000 | EP      |       |          |             |    |
|        | WO 99/35051       | 07/15/1999 | PCT     |       |          | abstract    |    |
|        | WO 01/43728 A1    | 06/21/2001 | PCT     |       |          | abstract    |    |
|        | WO 01/70194 A1    | 09/27/2001 | PCT     |       |          |             |    |
|        | WO 02/43657 A2    | 06/06/2002 | PCT     |       |          |             |    |
|        | WO 02/087642 A2   | 11/07/2002 | PCT     |       |          |             |    |
|        | WO 02/087645 A1   | 11/07/2002 | PCT     |       |          |             |    |
|        | WO 02/089849 A1   | 11/14/2002 | PCT     |       |          |             |    |
|        | WO 03/011259 A1   | 02/13/2003 | PCT     |       |          |             |    |
|        | WO 03/026654 A1   | 04/03/2003 | PCT     |       |          | abstract    |    |
|        | WO 03/030881 A1   | 04/17/2003 | PCT     |       |          |             |    |
|        | WO 03/030882 A1   | 04/17/2003 | PCT     |       |          |             |    |
|        | WO 03/030883 A1   | 04/17/2003 | PCT     |       |          |             |    |
| /T.M./ | WO 2004/009445 A2 | 01/29/2004 | PCT     |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|        |  |   |
|--------|--|---|
| /T.M./ |  | "Scientific Status Summary, Edible and Biodegradable Polymer Films: Challenges and Opportunities," <i>Food Technology</i> , Vol. 51, No. 2, pp. 61-74 (February 1997) |
|        |  |   |
|        |  |   |

**23552**

PATENT TRADEMARK OFFICE


|   |                   |                 |            |
|---|-------------------|-----------------|------------|
| EXAMINER  | /Tristan Mahyera/ | DATE CONSIDERED | 10/12/2007 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                   |                 |            |

|   |   |    |   |                                  |                   |
|---|---|----|---|----------------------------------|-------------------|
| Substitute for form 1449A/PTO   |   |    |   |                                  |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <i>Complete if Known</i>         |                   |
|   |   |    |   | Application Number               | 10/805,172        |
|   |   |    |   | Filing Date                      | March 19, 2004    |
|   |   |    |   | First Named Inventor             | Jannusch, Leonard |
|   |   |    |   | Art Unit                         | 1609              |
|   |   |    |   | Examiner Name                    | Tristan Mahyera   |
| Sheet   | 1 | of | 1 | Attorney Docket No: 195.0035US01 |                   |

| US PATENT DOCUMENTS |         |                 |                  |   |                            |
|---------------------|---------|-----------------|------------------|---|----------------------------|
| Examiner Initial *  | Cite No | Document Number | Publication Date | Name of Patentee or Applicant of Cited Document | Filing Date If Appropriate |

| FOREIGN PATENT DOCUMENTS |         |                         |                  |   |                |
|--------------------------|---------|-------------------------|------------------|---|----------------|
| Examiner Initials*       | Cite No | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of cited Document | T <sup>2</sup> |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS |                      |   |                |  |
|--|----------------------|---|----------------|--|
| Examiner Initials*                                 | Cite No <sup>1</sup> | Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |
| T.M./  |                      | "Communication Pursuant to Article 96(2) EPC", (3/13/2007), pp. 1-4   |                |  |
| T.M./  |                      | "Invitation to Restrict Or To Pay Additional Fees", (6/16/2006), pp. 1-3  |                |  |

|          |   |                 |            |
|----------|---|-----------------|------------|
| EXAMINER |  | DATE CONSIDERED | 10/15/2007 |
|----------|---|-----------------|------------|

|  |                               |                                   |
|--|-------------------------------|-----------------------------------|
| FORM T-449*<br><b>INFORMATION DISCLOSURE STATEMENT</b><br>IN AN APPLICATION<br>(Use several sheets if necessary) | Docket Number:<br>8195.35US01 | Application Number:<br>10/805,172 |
|  | Applicant: JANNUSCH ET AL.    |                                   |
|  | Filing Date: 03/19/2004       | Group Art Unit: 1761              |

[illegible]

**23552**  
PATENT TRADEMARK OFFICE

|   |                   |                 |            |
|---|-------------------|-----------------|------------|
| EXAMINER  | /Tristan Mahyera/ | DATE CONSIDERED | 10/12/2007 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                   |                 |            |

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

Docket Number:

8195.35US01

Application Number:

10/805,172

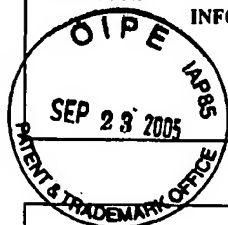
IN AN APPLICATION

(Use several sheets if necessary)

Applicant: JANNUSCH ET AL.

Filing Date: 03/19/2004

Group Art Unit: 1761



## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NO. | DATE       | NAME         | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|--------------|------------|--------------|-------|----------|-------------------------------|
| /T.M./              | 5,112,620    | 05/12/1992 | Repka et al. |       |          |                               |
| /T.M./              | 5,714,165    | 02/03/1998 | Repka et al. |       |          |                               |
| /T.M./              | 6,375,963 B1 | 04/23/2002 | Repka et al. |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |
|                     |              |            |              |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|        | DOCUMENT NO.    | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--------|-----------------|------------|---------|-------|----------|-------------|----|
|        |                 |            |         |       |          | YES         | NO |
| /T.M./ | WO 02/03968 A1  | 01/17/2002 | PCT     |       |          |             |    |
| /T.M./ | WO 03/047503 A2 | 06/12/2003 | PCT     |       |          |             |    |
| /T.M./ | WO 03/101357 A1 | 12/11/2003 | PCT     |       |          |             |    |
|        |                 |            |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|        |  |   |
|--------|--|---|
| /T.M./ |  | Advertisement, "A. Schulman Develops Water-Soluble Polymer for Film Applications," 1 page (Date Unknown)  |
| /T.M./ |  | McGinity, J. et al., "Hot-Melt Extruded Films for Transmucosal & Transdermal Drug Delivery Applications," <i>Drug Delivery Technology</i> , Vol. 4, No. 7, pp. 41-47 (September 2004) |
| /T.M./ |  | McGinity, J. et al., "Hot-Melt Extrusion as a Pharmaceutical Process," <i>American Pharmaceutical Review</i> , pp. 1-8 (Date Unknown)   |
|        |  |   |


23552

PATENT TRADEMARK OFFICE

EXAMINER /Tristan Mahyera/

DATE CONSIDERED 10/12/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

|   |                               |                                   |
|---|-------------------------------|-----------------------------------|
|  <p>INFORMATION DISCLOSURE STATEMENT</p> <p>IN AN APPLICATION</p> <p>(Use several sheets if necessary)</p> | Docket Number:<br>8195.35US01 | Application Number:<br>10/805,172 |
|   | Applicant: JANNUSCH ET AL.    |                                   |
|   | Filing Date: 03/19/2004       | Group Art Unit: 1761              |

[illegible]

**23552**  
PATENT TRADEMARK OFFICE

|   |                   |                 |            |
|---|-------------------|-----------------|------------|
| EXAMINER  | /Tristan Mahyera/ | DATE CONSIDERED | 10/12/2007 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                   |                 |            |